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LIST OF REFERENCES CITED BY APPLICANT

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ATTY. DOCKET NO.	SERIAL NO.
8449-115-999	09/657,722
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Pramod K. Srivastava	
FILING DATE	**GROUP**
September 8, 2000	1642

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,997,873	12/07/99	Srivastava			
	AB	5,961,979	10/05/99	Srivastava			
	AC	6,168,793	1/2/01	Srivastava *et al.*			
	AD	5,985,270	11/16/99	Srivastava			
	AE	5,935,576	08/10/99	Srivastava			
	AF	6,048,530	04/11/00	Srivastava			
	AG	6,030,618	02/29/00	Srivastava			
	AH	6,017,544	01/25/00	Srivastava			
	AI	4,690,915	09/01/87	Rosenberg			
	AJ	5,188,964	02/23/93	McGuire *et al.*			
	AK	5,232,833	08/03/93	Sanders *et al.*			
	AL	5,288,639	02/22/94	Burnie *et al.*			
	AM	5,348,945	09/20/94	Berberian *et al.*			
	AN	5,750,119	05/12/98	Srivastava			
	AO	5,830,464	11/03/98	Srivastava			
	AP	5,837,251	11/17/98	Srivastava			
	FP	09/412,420		Srivastava *et al.*			10/5/99
	FQ	09/454,734		Srivastava			12/6/99
	FR	09/489,218		Srivastava			1/21/00

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	TRANSLATION NO
	AQ	WO 89/12455	12/28/89	PCT				
	AR	WO 90/02564	03/22/90	PCT				
	AS	WO 91/15572	10/17/91	PCT				
	AT	WO 92/01717	02/06/92	PCT				
	AU	WO 92/08484	05/29/92	PCT				
	AV	WO 92/08488	05/29/92	PCT				
	AW	WO 93/14118	07/22/93	PCT				
	AX	WO 93/17712	09/16/93	PCT				
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	BA	WO 93/18150	09/16/93	PCT				

	BB	WO 93/21529	10/28/93	PCT				
	BC	WO 93/24136	12/09/93	PCT				
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	BF	WO 94/04676	03/03/94	PCT				
	BG	WO 94/11513	05/26/94	PCT				
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	FJ	DE 196 02 985 A1	07/31/97	Germany (In German with English Abstract)				X
	FK	WO 97/26910	07/31/97	PCT				
	FL	WO 97/06821	02/27/97	PCT				
	FM	W0 97/06828	02/27/97	PCT				
	FN	WO 97/06685	02/27/97	PCT				
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BJ	Barrios et al., (1992) "Mycobacterial heat-shock proteins as carrier molecules. II: The use of the 70-kDa mycobacterial heat-shock protein as carrier for conjugated vaccines that can circumvent the need for adjuvants and Bacillus Calmette Guérin priming", *Eur. J. Immunol.* 22:1365-1372.
BK	Barrios et al., (1994) "Specificity of antibodies induced after immunization of mice with the mycobacterial heat shock proteins of 65 kD", *Clin. Exp. Immunol.* 98:224-228.
BL	Barrios et al., (1994) "Heat shock proteins as carrier molecules: *in vivo* helper effect mediated by *Escherichia coli* GroEL and DnaK proteins requires cross-linking with antigen", *Clin. Exp. Immunol.* 98:229-233.
BM	Basombrío, (1970) "Search for common antigenicities among twenty-five sarcomas induced by methylcholanthrene", *The Institute for Cancer Research* 30:2458-2462.
BN	Bensaude et al. (1983) "Spontaneous high expression of heat-shock proteins in mouse embryonal carcinoma cells and ectoderm from day 8 mouse embryo", *EMBO J.* 2:173-177.
BO	Blachere et al., (1993) "Heat shock protein vaccines against cancer," *J. Immunotherapy* 14:352-356.
BP	Blachere and Srivastava, (1993) "Immunization with GP96 heat shock proteins isolated from tumors or influenza virus infected cells elicits MHC-restricted, antigen-specific cytotoxic T lymphocytes against the corresponding cells", *J. Cellular Biochem. Keystone Symposia* NZ502, p. 124.
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BS	Craig, (1993) "Chaperones: helpers along the pathways to protein folding", *Science* 260:1902-1904.
BT	Ebert, (1987) "Characterization of an immunosuppressive factor derived from colon cancer cells", *J. Immunol.*, 138(7):2161-2168.
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BX	Fedweg and Srivastava, (1993) "Evidence for biochemical heterogeneity of gp96 heat shock protein/tumor rejection antigen", *Mount Sinai School of Medicine NZ* 206, p. 108.
BY	Flynn et al., (1989) "Peptide binding and release by proteins implicated as catalysts of protein assembly", *Science* 245:385-390.

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	CA	Franklin, (1993) "Making vaccines fit the cancer", *New Scientist* 140:17.
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	CG	Jardetzky et al., (1991) "Identification of self peptides bound to purified HLA-B27", *Nature* 353:326-329.
	CH	Lakey et al., (1987) "Identification of a peptide binding protein that plays a role in antigen presentation", *Proc. Natl. Acad. Sci. USA* 84:1659-1663.
	CI	Lanzavecchia, (1993) "Identifying strategies for immune intervention", *Science* 260:937-944.
	CJ	Levinson et al., (1979) "Metal binding drugs induce synthesis of four proteins in normal cells", *Biol Trace Element Research* 1:15-23.
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	CL	Li et al., (1994) "A critical contemplation on the role of heat shock proteins in transfer of antigenic peptides during antigen presentation", *Behring Institute Mitteliungen* 94:37-47.
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	CP	Lukacs et al. (1993) "Tumor cells transfected with a bacterial heat-shock gene lose tumorigenicity and induce protection against tumors", *J. Exp. Med.* 178:343-348.
	CQ	Lussow et al., (1991) "Mycobacterial heat-shock proteins as carrier molecules", *Eur. J. Immunol.* 21:2297-2302.
	CR	Madden et al., (1991) "The structure of HLA-B27 reveals nonamer self-peptides bound in an extended conformation", *Nature* 353:321-325.
	CS	Maki et al., (1993) "Mapping of the genes for human endoplasmic reticular heat shock protein gp96/grp94", *Somatic Cell Mol. Genetics* 19(1):73-81.
	CT	Maki et al., (1990) "Human homologue of murine tumor rejection antigen gp96: 5'-Regulatory and coding regions and relationship to stress-induced proteins", *Proc. Natl. Acad. Sci. USA* 87:5658-5663.
	CU	McCall et al., (1989) "Biotherapy: A new dimension in cancer treatment", *Biotechnology* 7:231-240.
	CV	Melnick, (1985) "Virus vaccines: An overview", Proceedings of the First Annual Southwest Foundation for Biomedical Research International Symposium, Houston, Texas, 8-10 November 1984, *American Society for Microbiology* pp. 1-13.
	CW	Mizoguchi et al., (1982) "Alternation in signal transduction molecules in T lymphocytes from tumor-bearing mice", *Science* 258:1795-1798.
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	CY	Palladino et al., (1987) "Expression of shared tumor-specific antigen by two chemically induced BALB/c sarcomas", *Cancer Research* 47:5074-5079.
	CZ	Prehn and Main, (1957) "Immunity to methylcholanthrene-induced sarcomas", *Journal of the National Cancer Institute* 18(6):769-778.
	DA	Rothman, (1989) "Polypeptide chain binding proteins: Catalysts of protein folding and related processes in cells", *Cell* 59:591-601.

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	DC	Salk et al., (1993) "A strategy for prophylactic vaccination against HIV", *Science* 260:1270-1272.
	DD	Schumacher et al., (1991) "Peptide selection by MHC class I molecules", *Nature* 350:703-706.
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	DG	Srivastava et al., (1984) "The serologically unique cell surface antigen of Zajdela Ascitic Hepatoma is also its tumor-associated transplantation antigen", *Int. J. Cancer* 33:417-422.
	DH	Srivastava et al., (1989) "Identification of a human homologue of the murine tumor rejection antigen GP96," *Cancer Res.* 49:1341-1343.
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	DS	Thomas et al., (1982) "Molecular and cellular effects of heat shock and related treatments of mammalian tissue-culture cells", *Cold Spring Harbor Symp Quant Biol* 46:985-996.
	DT	Udono, (1993) "Heat shock proteins HSP70, HSP90 and GP96 elicit tumor specific immunity to the tumors from which they are isolated", *J. Cell. Biochem.* Suppl. 17D:113 (Abstract NZ225).
	DU	Udono et al., (1993) "Heat shock protein 70-associated peptides elicit specific cancer immunity", *J. Exp. Med.* 178:1391-1396.
	DV	Udono et al., (1994) "Comparison of tumor-specific immunogenicities of stress-induced proteins gp96, hsp90, and hsp70", *J. Immunol.* 152:5398-5403.
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	DX	Ullrich et al., (1986) "A mouse tumor-specific transplantation antigen is a heat shock-related protein", *Proc. Natl. Acad. Sci. USA* 83:3121-3125.
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	EP	Udono et al., (1994) "Comparison of tumor-specific immunogenicities of stress-induced proteins GB96, HSP90 and HSP70", *J. Immunol.* 152:5398-5403.
	EQ	Browning et al., (1993) "Lymphotoxin β, a novel member of the TNF family that forms a heteromeric complex with lymphotoxin on the cell surface", *Cell* 72:847-856.
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	FA	Troye-Blomberg et al., (1994) "T-cell control of immunity to the asexual blood stages of the malaria parasite", *Crit. Rev. Immunol.* 14:131-155.
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EXAMINER	**DATE CONSIDERED** 4/5/02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with **MPEP 609**; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.